

## **Product-related disclosure obligations pursuant to Article 10 DisclVO (Transparency-Regulation EU 2019/2088, following „Disclosure-Regulation“) for La Française Systematic Global Listed Infrastructure**

### **Environmental and social features of the investment fund**

The La Française Systematic Global Listed Infrastructure is a stock fund investing globally with an industry focus. The focus of the investment is on shares of stock-exchange listed companies in the infrastructure segment. The aim of the fund is an attractive increase in value over the long term, taking sustainability features into account. Sustainability is understood to mean ecological (Environment - E) and social (Social - S) criteria as well as good corporate and state management (Governance - G). The fund's assets are invested in companies that act systematically according to ecological, social or responsible corporate governance (ESG) criteria. For example, the aspects of environmental and climate protection, human rights, safety and health, reporting and combating bribery and corruption are taken into account.

### **Applied methods for measuring, assessing and monitoring environmental and social features**

In an initial phase, the total possible investment universe for the La Française Group is limited by an exclusion policy applicable to all products, which is primarily based on ESG criteria but also on other principles.

Thus, the following issuers are systematically excluded due to the exclusion policy of La Française Group:

- Issuers with connection to coal
- Issuers related to unconventional fossil fuels
- Issuers with connection to controversial weapons
- Issuers with connection to tobacco as well as
- Companies based in blacklisted and redlisted sensitive countries that require approval from LFSAM's Compliance Department on a case-by-case basis. These lists, maintained and updated by LFSAM's Compliance Department, are drawn up with regard to international sanctions and their impact in relation to terrorism and corruption. (See the full exclusion policy under: [https://www.la-francaise.com/fileadmin/docs/Actualites\\_reglementaires/PolitiqueExclusions072022\\_EN.pdf](https://www.la-francaise.com/fileadmin/docs/Actualites_reglementaires/PolitiqueExclusions072022_EN.pdf))

As part of the investment process, the company uses the La Française Group Research Center based in London and Paris to take sustainability risks and ESG criteria into account. In order to evaluate sustainability, a sustainability number is calculated, the so-called ESG score. The ESG Score is calculated and made available by the La Française Group Research Center.

In the process, 20% of the companies with the lowest ESG score are systematically excluded during portfolio construction. With regard to sustainability, the following criteria, among others, are taken into account at the level of the target companies: (i) environmentally sound, sustainable business practices; (ii) the conscious promotion of human capital and compliance with best labour standards; and (iii) good corporate governance.

The investment fund shall take into account the main adverse effects of investment decisions on sustainability factors in accordance with Annex 1, Table 1 of the Disclosure Regulation.

The investment strategy is constantly monitored and adjusted if necessary in order to meet sustainability goals, among other things.

For this investment fund, the principal adverse impacts of investment decisions on sustainability factors according to Annex 1, Table 1 of the Disclosure Regulation (also "Principal Adverse Impact"s or "PAI"s) are also taken into account.

The company also applies the UN Principles for Responsible Investment ("PRI").

As of January 2023